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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER	
P1126US10	
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	•

INTERNATIONAL APPLICATION NO. PCT/US2005/004630			INTERNATIONAL FILING DATE 14 February 2005	PRIORITY DATE CLAIMED 14 February 2004		
TIT	LE OF	INVENTION COMPOUNDS AND COMPOS	SITIONS AS PROTEIN KINASE INHIBITORS			
API	PLICA	NT(S) FOR DO/EO/US CHOI, Ha-Soon, et al	l.			
Apr	olicant	t herewith submits to the United States Des	ignated/Elected Office (DO/EO/US) the followi	ng items and other information:		
1.	The second secon					
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items						
•	_	(5), (6), (9) and (21) indicated below.				
4.	F3 - F1 - 10 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -					
5.	×	A copy of the International Application as fi				
		a. X is attached hereto (required only	if not communicated by the International Bureau).		
		b. has been communicated by the l	International Bureau.			
		c. is not required, as the application	n was filed in the United States Receiving Office (RO/US).		
6.		An English language translation of the Inte	ernational Application as filed (35 U.S.C. 371(c)(2	2)).		
		a. is attached hereto.				
		b. has been previously submitted u				
7.	\boxtimes		ional Application under PCT Article 19 (35 U.S.C.			
			aly if not communicated by the International Burea	u).		
		b. have been communicated by the		OT ownized		
			the time limit for making such amendments has N	OT explied.		
		d. A Facility language translation of the om		11.5.C 271(c)(3))		
8.						
	9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT				1 Report under PC1		
	Item	Article 36 (35 U.S.C. 371(c)(5)). s 11 to 20 below concern document(s) or in	nformation included:			
11.		An Information Disclosure Statement under	er 37 CFR 1.97 and 1.98.	·		
12.	2. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.	\boxtimes	A preliminary amendment.				
14.	\boxtimes	An Application Data Sheet under 37 CFR 1.76.				
15.		A substitute specification.				
16.		A power of attorney and/or change of address letter.				
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.				
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19	п	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known see 37 CFR 1.5) ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. P1126US10 PCT/US2005/004630 Other items or information: 20. PTO USE ONLY **CALCULATIONS** The following fees are submitted: Basic national fee (37 CFR 1.492(a))......\$300 21. 🛛 \$ 300 22. X Examination Fee (37 CFR 1.492(c))) If the written opinion prepared by ISA/US to the international preliminary examination report prepared \$ 200 By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an \$ 500 International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided in the Office or previously communicated to the US by the IB.....\$400 All other situations . \$ 1000 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra sheets thereof (round up to a whole number) \$0 0/50 =\$250 61 - 100 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of \$ 130 commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA RATE **CLAIMS** NUMBER FILED 0 X \$50 \$0 Total claims 10 - 20 = 0 \$200 \$0 Independent claims 1 - 3 = \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$0 TOTAL OF ABOVE CALCULATIONS = \$ 1130 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$0 • • SUBTOTAL = \$1130 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$0 claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 1130 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$0 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$ 1130 Amount to be refunded: Amount to be \$1130 charged:

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a.
A check in the amount of \$ _____ to cover the above fees is enclosed. b. Please charge my Deposit Account No. <u>50-1885</u> in the amount of \$ <u>1130</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No. 50-1885 . A duplicate copy of this sheet is enclosed. d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status. Hw Kind SEND ALL CORRESPONDENCE TO: The Genomics Institute of the Novartis Research Foundation Scott W. Reid, D.Phil. 10675 John Jay Hopkins Drive NAME San Diego, California 92121 48,097 **United States of America REGISTRATION NUMBER**